Chapter 9 Sinusoidal Steady–State Analysis

- 9.1-9.2 The Sinusoidal Source and Response
- 9.3 The Phasor
- 9.4 Impedances of Passive Elements
- 9.5-9.9 Circuit Analysis Techniques in the Frequency Domain
- 9.10-9.11 The Transformer
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Overview

- We will generalize circuit analysis from constant to time-varying sources (Ch7-14).
- Sinusoidal sources are particularly important because: (1) Generation, transmission, consumption of electric energy occur under sinusoidal conditions. (2) It can be used to predict the behaviors of circuits with nonsinusoidal sources.
- Need to work in the realm of complex numbers.

Key points

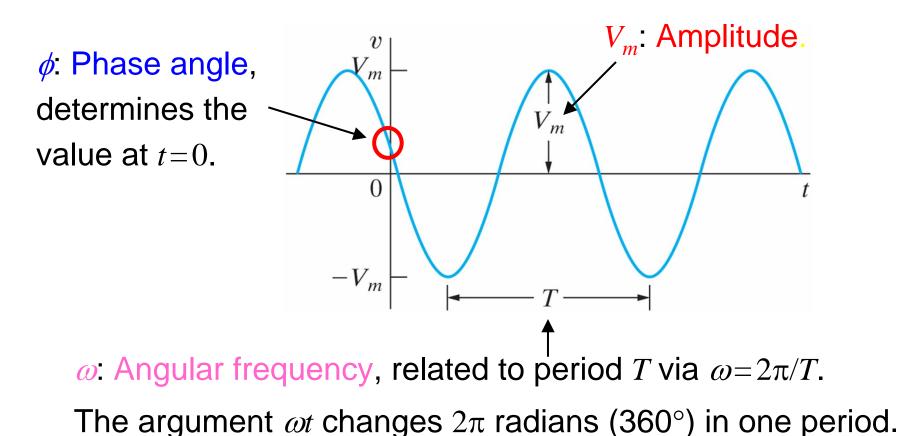
- What is the phase of a sinusoidal function?
- What is the phasor of a sinusoidal function?
- What is the phase of an impedance? What are in-phase and quadrature?
- How to solve the sinusoidal steady-state response by using phasor and impedance?
- What is the reflected impedance of a circuit with transformer?

Section 9.1, 9.2 The Sinusoidal Source and Response

- 1. Definitions
- 2. Characteristics of sinusoidal response

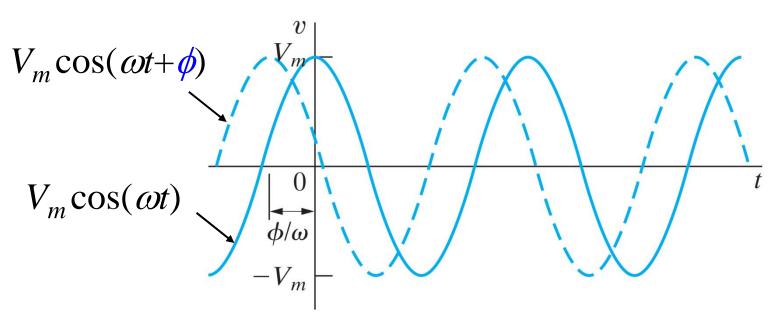
Definition

• A source producing a voltage varying sinusoidally with time: $v(t) = V_m \cos(\omega t + \phi)$.



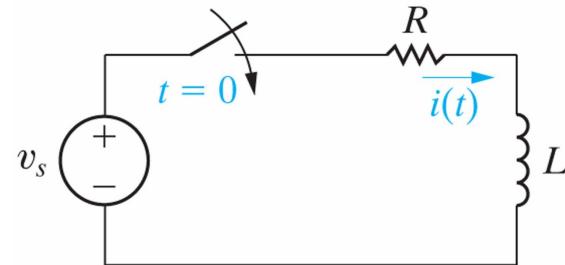
More on phase angle

- Change of phase angle shifts the curve along the time axis without changing the shape (amplitude, angular frequency).
- Positive phase ($\phi > 0$), \Rightarrow the curve is shifted to the left by ϕ/ω in time, and vice versa.



Example: RL circuit (1)

Consider an RL circuit with zero initial current $i(t = 0^+) = 0$ and driven by a sinusoidal voltage source $v_s(t) = V_m \cos(\omega t + \phi)$:



By KVL:
$$L\frac{d}{dt}i + Ri = V_m \cos(\omega t + \phi).$$

Example: RL circuit (2)

The complete solution to the ODE and initial condition is (verified by substitution):

$$i(t) = i_{tr}(t) + i_{ss}(t),$$

$$\begin{cases} i_{tr}(t) \equiv -\frac{V_m \cos(\phi - \theta)}{\sqrt{R^2 + \omega^2 L^2}} e^{-(R/L)t} & \text{Transient response,} \\ \text{vanishes as } t \to \infty. \end{cases}$$
$$i_{ss}(t) \equiv \frac{V_m}{\sqrt{R^2 + \omega^2 L^2}} \cos(\omega t + \phi - \theta) & \text{Steady-state response,} \\ \text{lasts even } t \to \infty. \end{cases}$$
$$\theta = \tan^{-1}(\omega L/R).$$

Characteristics of steady-state response

i_{ss}(t) of this example exhibits the following characteristics of steady-state response:

$$i_{ss}(t) \equiv \frac{V_m}{\sqrt{R^2 + \omega^2 L^2}} \cos(\omega t + \phi - \theta)$$

- It remains sinusoidal of the same frequency as the driving source if the circuit is linear (with constant R, L, C values).
- 2. The amplitude differs from that of the source.
- 3. The phase angle differs from that of the source.

Purpose of Chapter 9

- Directly finding the steady-state response without solving the differential equation.
- According to the characteristics of steady-state response, the task is reduced to finding two real numbers, i.e. amplitude and phase angle, of the response. The waveform and frequency of the response are already known.
- Transient response matters in switching. It will be dealt with in Chapters 7, 8, 12, 13.

Section 9.3 The Phasor

- 1. Definitions
- 2. Solve steady-state response by phasor

Definition

- The phasor is a constant complex number that carries the amplitude and phase angle information of a sinusoidal function.
- The concept of phasor is rooted in Euler's identity, which relates the (complex) exponential function to the trigonometric functions: $e^{\pm j\theta} = \cos \theta \pm j \sin \theta$.

$$\Rightarrow \cos \theta = \operatorname{Re}\left\{e^{j\theta}\right\}, \quad \sin \theta = \operatorname{Im}\left\{e^{j\theta}\right\}.$$

Phasor representation

A sinusoidal function can be represented by the real part of a phasor times the "complex carrier".

$$V_{m} \cos(\omega t + \phi) = V_{m} \operatorname{Re} \left\{ e^{j(\omega t + \phi)} \right\}$$
$$= \operatorname{Re} \left\{ \left(V_{m} e^{j\phi} \right) e^{j\omega t} \right\} = \operatorname{Re} \left\{ \left(\nabla \times e^{j\omega t} \right) \right\}$$
phasor carrier

- A phasor can be represented in two forms:
- 1. Polar form (good for ×, ÷): $\mathbf{V} \equiv V_m e^{j\phi} = V_m \angle \phi$, 2. Rectangular form (good for +, -): $\mathbf{V} \equiv V_m \cos \phi + jV_m \sin \phi$. real

Phasor transformation

A phasor can be regarded as the "phasor transform" of a sinusoidal function from the time domain to the frequency domain:

$$\mathbf{V} = P\{V_m \cos(\omega t + \phi)\} = V_m e^{j\phi}.$$

time domain freq. domain

The "inverse phasor transform" of a phasor is a sinusoidal function in the time domain:

$$P^{-1}\left\{\mathbf{V}\right\} = \operatorname{Re}\left\{\mathbf{V}e^{j\omega t}\right\} = V_m \cos(\omega t + \phi).$$

Time derivative ↔ Multiplication of constant

Time
d
d

$$\frac{d}{dt}V_m\cos(\omega t + \phi) = -\omega V_m\sin(\omega t + \phi)$$

 $= \omega V_m\cos(\omega t + \phi + 90^\circ),$
 $\frac{d^2}{dt^2}V_m\cos(\omega t + \phi) = -\omega^2 V_m\cos(\omega t + \phi).$

Frequency
$$P\left\{\frac{d}{dt}V_{m}\cos(\omega t + \phi)\right\} = \omega V_{m}e^{j(\phi+90^{\circ})}$$

domain:
$$= \omega \left(V_{m}e^{j\phi}\right)e^{j90^{\circ}} = j\omega \mathbf{V},$$

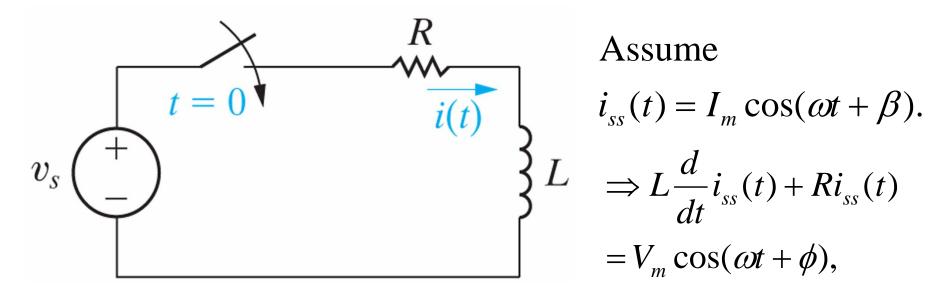
$$P\left\{\frac{d^{2}}{dt^{2}}V_{m}\cos(\omega t + \phi)\right\} = (j\omega)^{2}\mathbf{V} = -\omega^{2}\mathbf{V}.$$

How to calculate steady-state solution by phasor?

- Step 1: Assume that the solution is of the form: $\operatorname{Re}\left\{\left(Ae^{j\beta}\right)e^{j\omega t}\right\}$
- Step 2: Substitute the proposed solution into the differential equation. The common time-varying factor e^{jat} of all terms will cancel out, resulting in two algebraic equations to solve for the two unknown constants {*A*, β}.

Example: RL circuit (1)

• Q: Given $v_s(t) = V_m \cos(\omega t + \phi)$, calculate $i_{ss}(t)$.



$$\Rightarrow L\frac{d}{dt} [I_m \cos(\omega t + \beta)] + R[I_m \cos(\omega t + \beta)] = V_m \cos(\omega t + \phi),$$

$$\Rightarrow -\omega LI_m \sin(\omega t + \beta) + RI_m \cos(\omega t + \beta) = V_m \cos(\omega t + \phi),$$

Example: RL circuit (2)

By cosine convention:

$$\Rightarrow \omega LI_{m} \cos(\omega t + \beta + 90^{\circ}) + RI_{m} \cos(\omega t + \beta) = V_{m} \cos(\omega t + \phi),$$

$$\Rightarrow \operatorname{Re}\left\{\omega LI_{m}e^{j(\beta + 90^{\circ})}e^{j\omega t}\right\} + \operatorname{Re}\left\{RI_{m}e^{j\beta}e^{j\omega t}\right\} = \operatorname{Re}\left\{V_{m}e^{j\phi}e^{j\omega t}\right\},$$

$$\Rightarrow \operatorname{Re}\left\{j\omega LIe^{j\omega t}\right\} + \operatorname{Re}\left\{RIe^{j\omega t}\right\} = \operatorname{Re}\left\{Ve^{j\omega t}\right\},$$

$$\Rightarrow \operatorname{Re}\left\{(j\omega L + R)Ie^{j\omega t}\right\} = \operatorname{Re}\left\{Ve^{j\omega t}\right\}.$$

• A necessary condition is: $(j\omega L + R)\mathbf{I}e^{j\omega t} = \mathbf{V}e^{j\omega t}, \Rightarrow (j\omega L + R)\mathbf{I} = \mathbf{V}.$

Example: RL circuit (3)

A more convenient way is directly transforming the ODE from time to frequency domain:

$$L\frac{d}{dt}i_{ss}(t) + Ri_{ss}(t) = V_m \cos(\omega t + \phi),$$

$$\Rightarrow L(j\omega)\mathbf{I} + R\mathbf{I} = \mathbf{V}, \ (j\omega L + R)\mathbf{I} = \mathbf{V}.$$

The solution can be obtained by one complex (i.e. two real) algebraic equation:

$$\mathbf{I} = \frac{\mathbf{V}}{j\omega L + R}, \text{ i.e. } I_m e^{j\beta} = \frac{V_m e^{j\phi}}{j\omega L + R}.$$

Section 9.4 Impedances of The Passive Circuit Elements

- 1. Generalize resistance to impedance
- 2. Impedances of R, L, C
- 3. In phase & quadrature

What is the impedance?

For a resistor, the ratio of voltage v(t) to the current i(t) is a real constant R (Ohm's law):

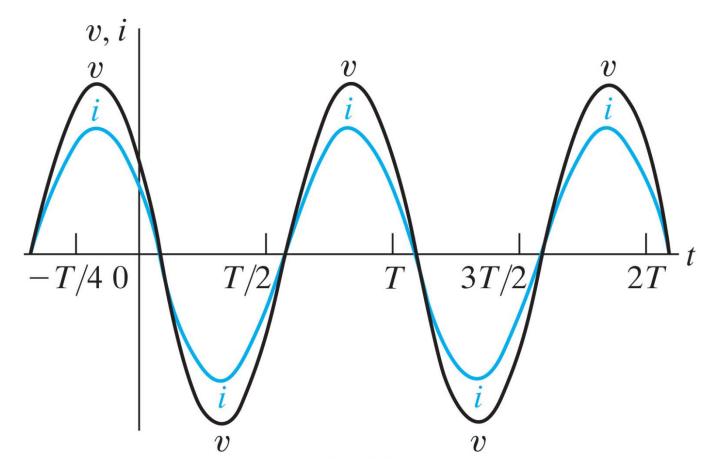
$$R = \frac{v(t)}{i(t)}$$
....resistance

 For two terminals of a linear circuit driven by sinusoidal sources, the ratio of voltage phasor V to the current phasor I is a complex constant Z:

$$Z \equiv \frac{\mathbf{V}}{\mathbf{I}}$$
...impedance

The *i*-*v* relation and impedance of a resistor

• i(t) and v(t) reach the peaks simultaneously (in phase), \Rightarrow impedance Z=R is real.



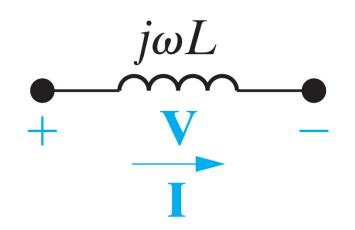
The *i*-*v* relation and impedance of an inductor (1)

Assume
$$i(t) = I_m \cos(\omega t + \theta_i)$$

 $\Rightarrow v(t) = L \frac{d}{dt} i(t)$
 $= L[-\omega I_m \sin(\omega t + \theta_i)]$
 $= \omega L I_m \cos(\omega t + \theta_i + 90^\circ).$

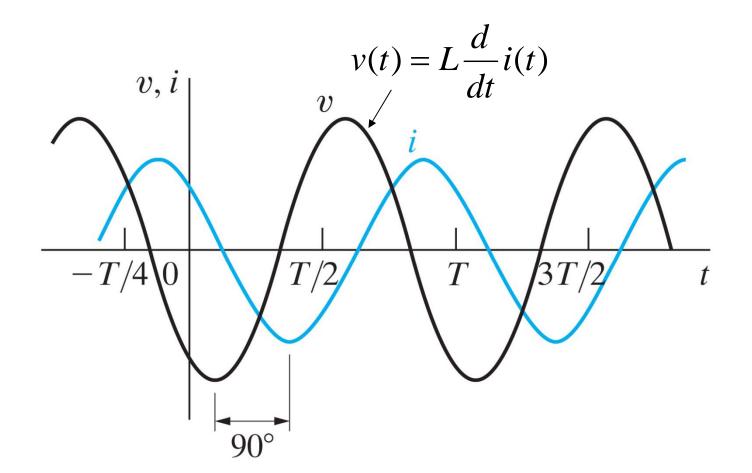
By phasor transformation:

$$\mathbf{V} = L \cdot j\omega \mathbf{I}$$
$$\Rightarrow Z = \frac{\mathbf{V}}{\mathbf{I}} = \frac{j\omega L}{\mathbf{I}}.$$

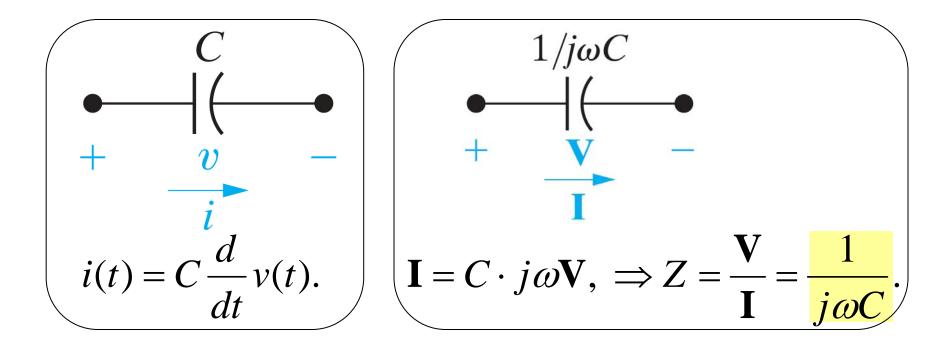


The *i*-*v* relation and impedance of an inductor (2)

■ v(t) leads i(t) by T/4 (+90° phase, i.e. quadrature) ⇒ impedance $Z=j\omega L$ is purely positive imaginary.

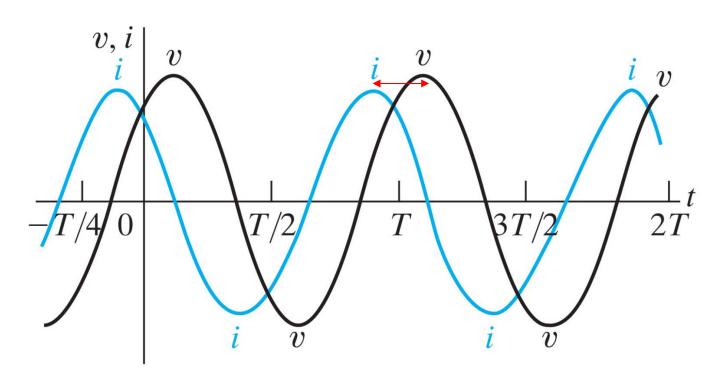


The *i*-*v* relation and impedance of an capacitor (1)



The *i*-*v* relation and impedance of a capacitor (2)

■ v(t) lags i(t) by T/4 (-90° phase, i.e. quadrature) ⇒ impedance $Z = 1/(j\omega C)$ is purely negative imaginary.



More on impedance

- Impedance Z is a complex number in units of Ohms.
- Impedance of a "mutual" inductance *M* is *joM*.
 Re(*Z*) = *R*, Im(*Z*) = *X* are called resistance and reactance, respectively.
- Although impedance is complex, it's not a phasor. In other words, it cannot be transformed into a sinusoidal function in the time domain.

Section 9.5-9.9 Circuit Analysis Techniques in the Frequency Domain

Summary

- All the DC circuit analysis techniques:
- 1. KVL, KCL;
- 2. Series, parallel, Δ -Y simplifications;
- 3. Source transformations;
- 4. Thévenin, Norton equivalent circuits;
- 5. NVM, MCM;

are still applicable to sinusoidal steady-state analysis if the voltages, currents, and passive elements are replaced by the corresponding phasors and impedances.

KVL, KCL

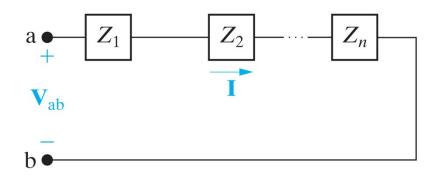
• KVL:
$$v_1(t) + v_2(t) + \ldots + v_n(t) = \sum_{q=1}^n v_q(t) = 0$$
,

$$\Rightarrow \sum_{q=1}^{n} V_{mq} \cos(\omega t + \theta_q) = \sum_{q=1}^{n} \operatorname{Re} \left[V_{mq} e^{j(\omega t + \theta_q)} \right]$$
$$= \left\{ \sum_{q=1}^{n} \operatorname{Re} \left[V_{mq} e^{j\theta_q} \right] \right\} e^{j\omega t} = 0,$$

$$\mathbf{V}_1 + \mathbf{V}_2 + \ldots + \mathbf{V}_n = 0.$$

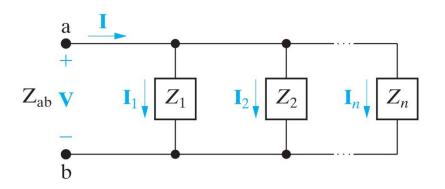
• KCL: $i_1(t) + i_2(t) + \dots + i_n(t) = 0, \Rightarrow$ $\mathbf{I}_1 + \mathbf{I}_2 + \dots + \mathbf{I}_n = 0.$

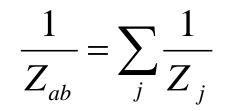
- Equivalent impedance formulas
 - Impedances in series



 $Z_{ab} = \sum_{i} Z_{j}$

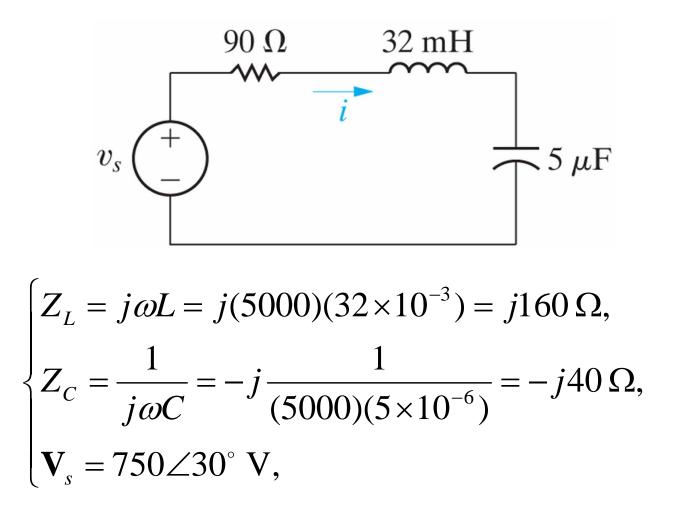
Impedances in parallel



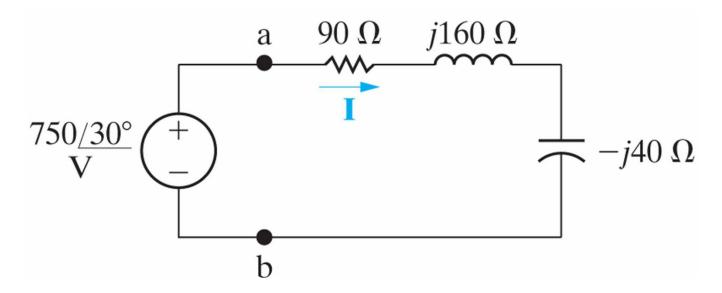


Example 9.6: Series RLC circuit (1)

• Q: Given $v_s(t) = 750 \cos(5000t + 30^\circ)$, $\Rightarrow i(t) = ?$



Example 9.6: Series RLC circuit (2)

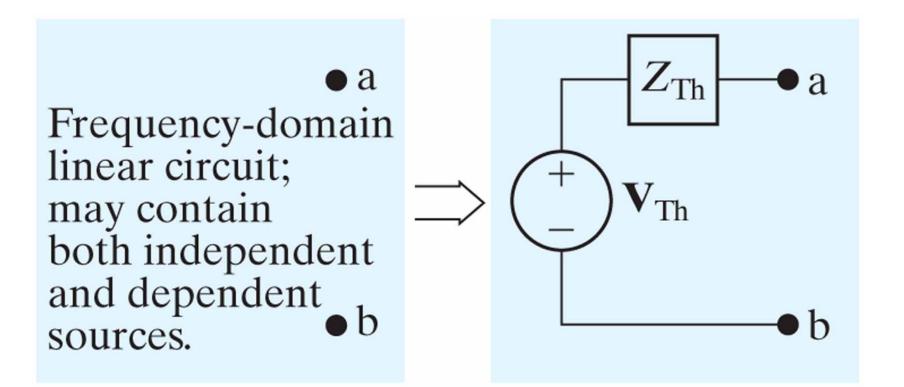


$$Z_{ab} = 90 + j160 - j40 = 90 + j120$$

= $\sqrt{90^2 + 120^2} \angle \tan^{-1}(120/90) = 150 \angle 53.13^\circ \Omega$,
 $\Rightarrow \mathbf{I} = \frac{\mathbf{V}_s}{Z_{ab}} = \frac{750 \angle 30^\circ \text{ V}}{150 \angle 53.13^\circ \Omega} = 5 \angle -23.13^\circ \text{ A},$
 $\Rightarrow i(t) = 5\cos(5000t - 23.13^\circ) \text{ A}.$

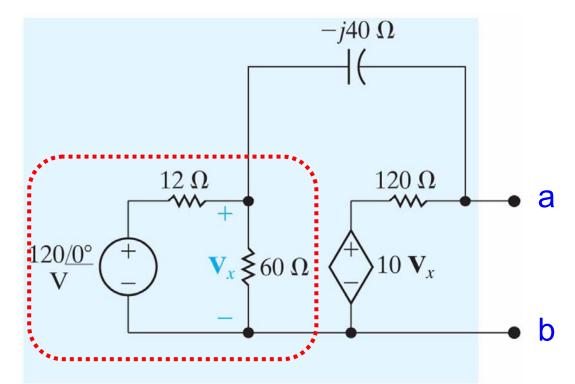
Thévenin equivalent circuit

 Terminal voltage phasor and current phasor are the same by using either configuration.



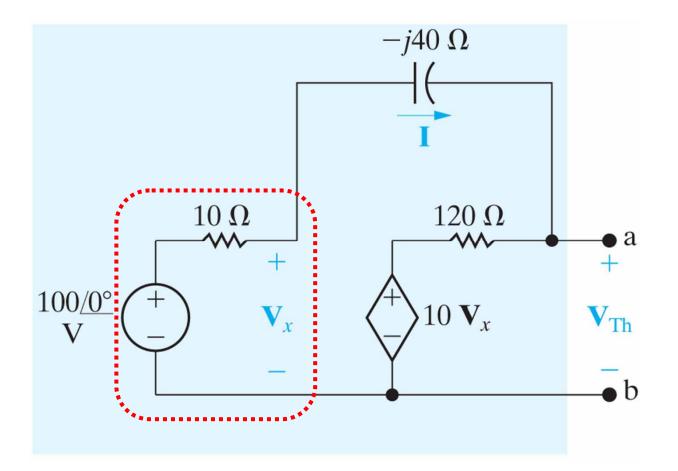
Example 9.10 (1)

Q: Find the Thévenin circuit for terminals a, b.



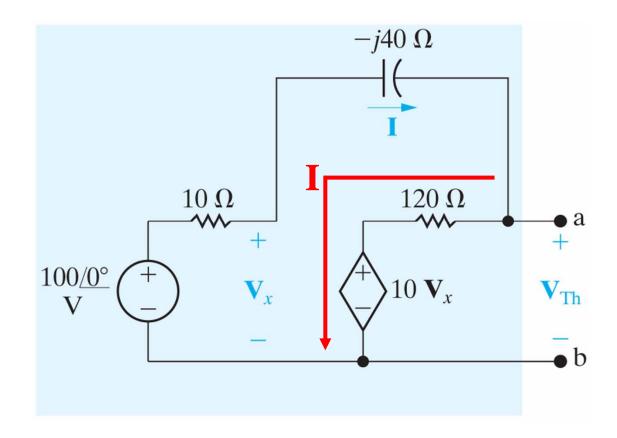
Apply source transformation to {120V, 12Ω, 60Ω}
 twice to get a simplified circuit.

Example 9.10 (2)



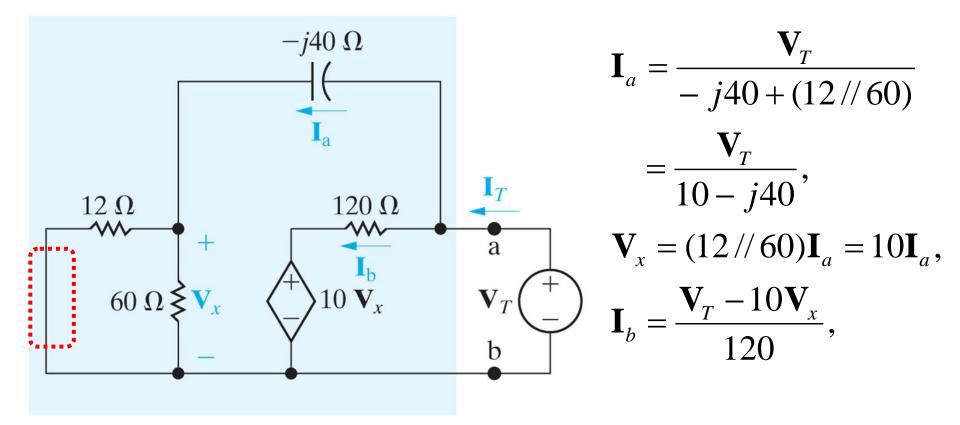
 $100 = (10 - j40 + 120)\mathbf{I} + 10\mathbf{V}_x, \implies (130 - j40)\mathbf{I} + 10\mathbf{V}_x = 100\cdots(1)$ $\mathbf{V}_x = 100 - 10\mathbf{I}\cdots(2)$

Example 9.10 (3)



$$\mathbf{I} = \frac{-900}{30 - j40} = 18 \angle -126.87^{\circ} \text{ A},$$
$$\mathbf{V}_{Th} = 10(100 - 10\mathbf{I}) + 120\mathbf{I} = 835.22 \angle -20.17^{\circ} \text{ V}.$$

Example 9.10 (4)



$$\mathbf{I}_{T} = \mathbf{I}_{a} + \mathbf{I}_{b} = \mathbf{I}_{a} + \frac{\mathbf{V}_{T} - 100\mathbf{I}_{a}}{120} = \frac{\mathbf{I}_{a}}{6} + \frac{\mathbf{V}_{T}}{120} = \frac{1}{6}\frac{\mathbf{V}_{T}}{10 - j40} + \frac{\mathbf{V}_{T}}{120},$$

$$\mathbf{V}_{Th} = \mathbf{V}_{T}/\mathbf{I}_{T} = 91.2 - j38.4 \,\Omega.$$

Section 9.10, 9.11 The Transformer

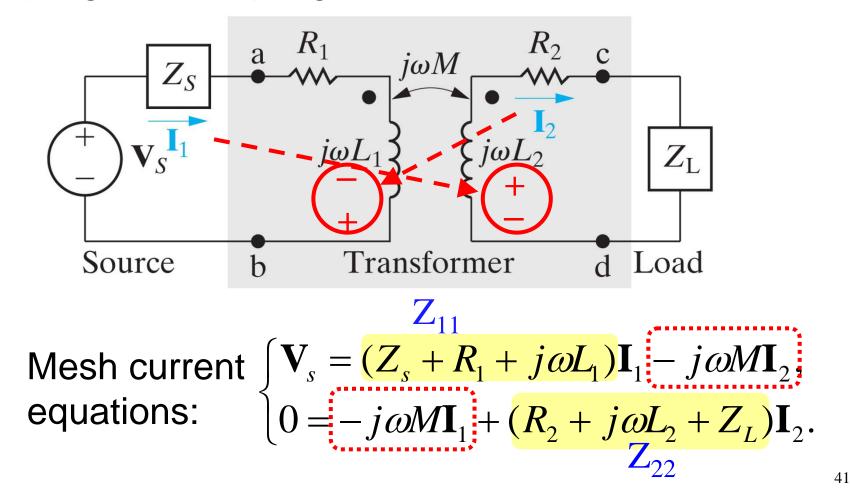
- 1. Linear transformer, reflected impedance
- 2. Ideal transformer

Summary

- A device based on magnetic coupling.
- Linear transformer is used in communication circuits to (1) match impedances, and (2) eliminate dc signals.
- Ideal transformer is used in power circuits to establish ac voltage levels.
- MCM is used in transformer analysis, for the currents in various coils cannot be written by inspection as functions of the node voltages.

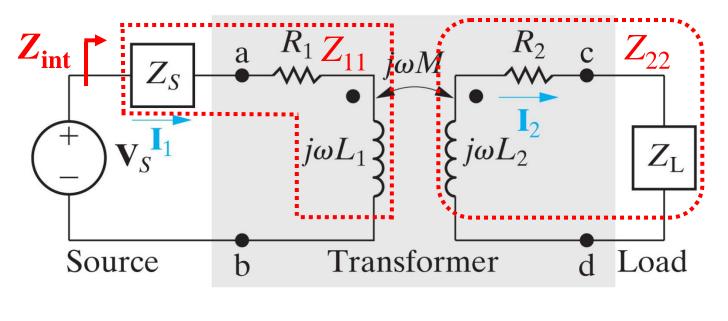
Analysis of linear transformer (1)

 Consider two coils wound around a single core (magnetic coupling):



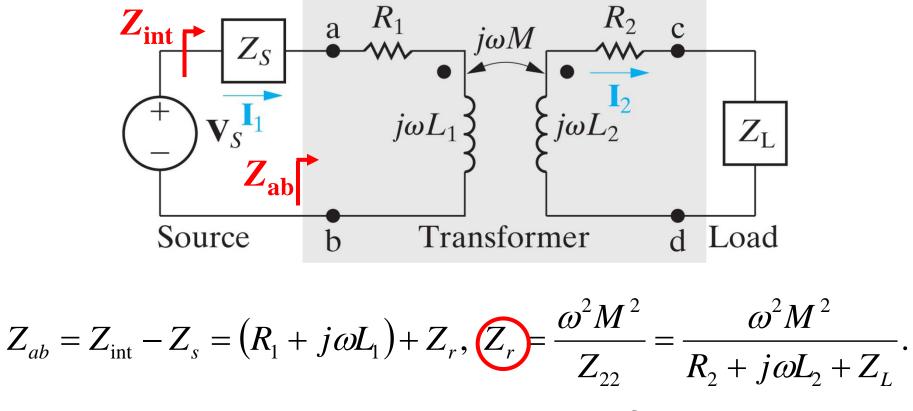
Analysis of linear transformer (2)

$$\Rightarrow \mathbf{I}_{1} = \frac{Z_{22}}{Z_{11}Z_{22} + \omega^{2}M^{2}} \mathbf{V}_{s}, \quad \mathbf{I}_{2} = \frac{j\omega M}{Z_{22}} \mathbf{I}_{1} = \frac{j\omega M}{Z_{11}Z_{22} + \omega^{2}M^{2}} \mathbf{V}_{s}.$$



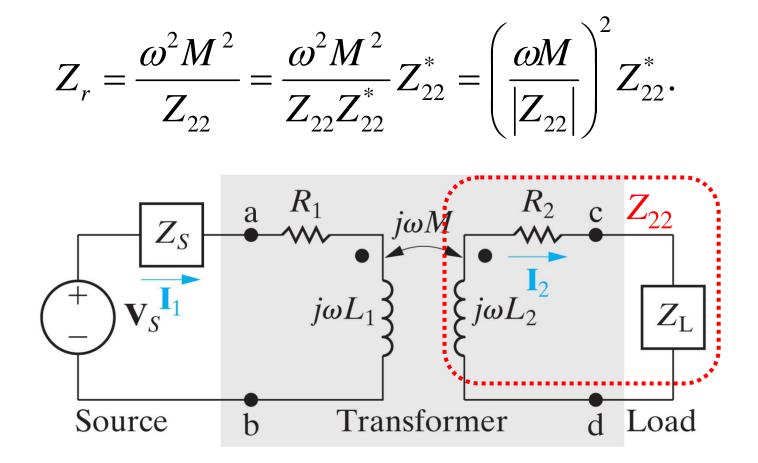
$$\Rightarrow Z_{\text{int}} = \frac{\mathbf{V}_s}{\mathbf{I}_1} = \frac{Z_{11}Z_{22} + \omega^2 M^2}{Z_{22}} = Z_{11} + \frac{\omega^2 M^2}{Z_{22}}.$$

Input impedance of the primary coil



- Z_r is the equivalent impedance of the secondary coil and load due to the mutual inductance.
- $Z_{ab} = Z_S$ is needed to prevent power reflection.

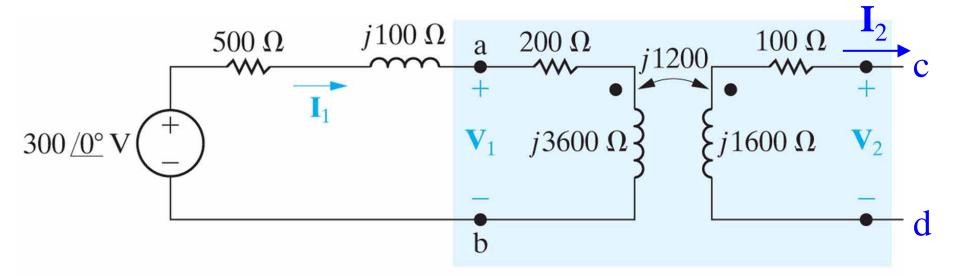
Reflected impedance



• Linear transformer reflects $(Z_{22})^*$ into the primary coil by a scalar multiplier $(\omega M/|Z_{22}|)^2$.

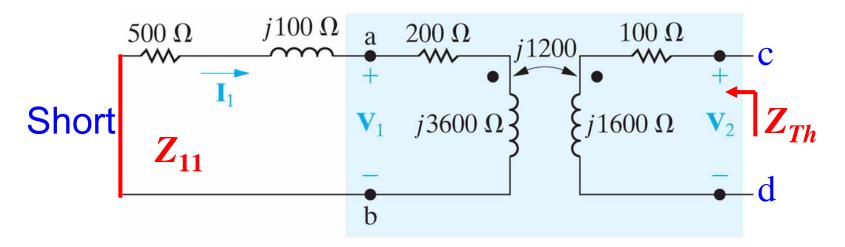
Example 9.13 (1)

Q: Find the Thévenin circuit for terminals c, d.



$$\mathbf{V}_{Th} = \mathbf{V}_{cd}$$
. Since $\mathbf{I}_2 = 0$, $\Rightarrow \mathbf{V}_{cd} = \mathbf{I}_1 \times j\omega M$, where
 $\mathbf{I}_1 = \frac{\mathbf{V}_s}{Z_{11}} = \frac{300\angle 0^\circ}{(500+j100) + (200+j3600)} = 79.67\angle -79.29^\circ A$.
 $\Rightarrow \mathbf{V}_{Th} = (79.67\angle -79.29^\circ) \times (j1200) = 95.6\angle 10.71^\circ V$.

Example 9.13 (2)



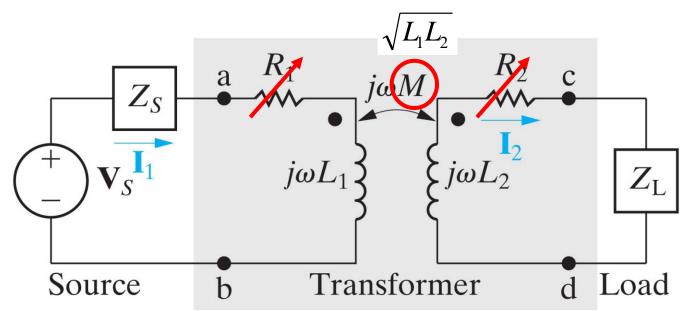
■ $Z_{Th} = (100+j1600) + Z_r$, where Z_r is the reflected impedance of Z_{11} due to the transformer:

$$\begin{split} Z_{11} &= (500 + j100) + (200 + j3600) = (700 + j3700)\Omega, \\ Z_r &= \left(\frac{\omega M}{|Z_{11}|}\right)^2 Z_{11}^* = \left(\frac{1200}{|700 + j3700|}\right)^2 (700 - j3700), \\ \hline Z_{Th} &= (100 + j1600) + Z_r = (171.09 + j1224.26)\Omega. \end{split}$$

Characteristics of ideal transformer

- An ideal transformer consists of two magnetically coupled coils with N₁ and N₂ turns, respectively. It exhibits three properties:
- 1. Magnetic field is perfectly confined within the magnetic core, \Rightarrow magnetic coupling coefficient is k=1, $\Rightarrow M = \sqrt{L_1L_2}$.
- 2. The self-inductance of each coil $(L_i \propto N_i^2)$ is large, i.e. $L_1 = L_2 \rightarrow \infty$.
- 3. The coil loss is negligible: $R_1 = R_2 \rightarrow 0$.

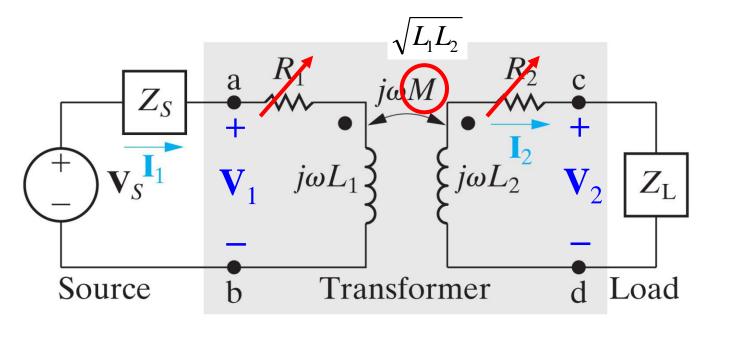
Current ratio



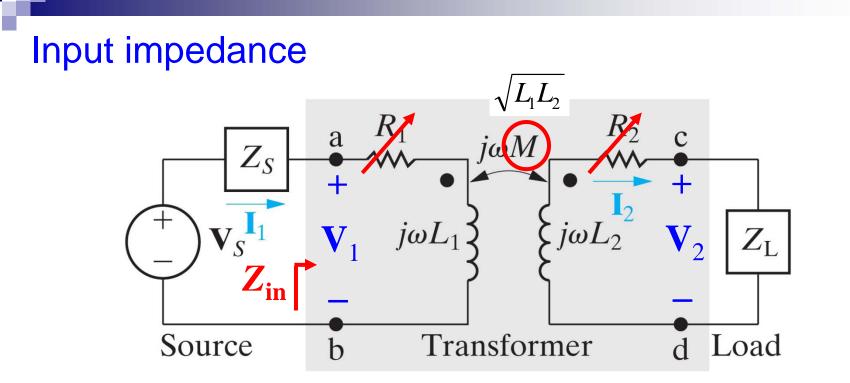
By solving the two mesh equations of a general linear transformer: if $\omega L_2 >> |Z_L|$

$$\Rightarrow \frac{\mathbf{I}_1}{\mathbf{I}_2} = \frac{Z_{22}}{j\omega M} = \frac{j\omega L_2 + Z_L}{j\omega \sqrt{L_1 L_2}} \rightarrow \sqrt{\frac{L_2}{L_1}} = \frac{N_2}{N_1}$$

Voltage ratio



• Substitute $\mathbf{I}_2 = \frac{j\omega M}{Z_{22}} \mathbf{I}_1$ into $\begin{cases} \mathbf{V}_1 = j\omega L_1 \mathbf{I}_1 - j\omega M \mathbf{I}_2, \\ \mathbf{V}_2 = Z_L \mathbf{I}_2. \end{cases}$ $\Rightarrow \frac{\mathbf{V}_1}{\mathbf{V}_2} = \underbrace{Z_{22}}(j\omega L_1) + \omega^2 M^2 \\ j\omega M Z_L \end{cases} = \underbrace{L_1}{M} = \sqrt{\frac{L_1}{L_2}} = \frac{N_1}{N_2}.$



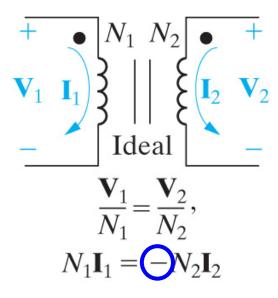
By the current and voltage ratios,

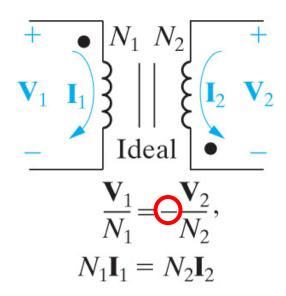
in-phase

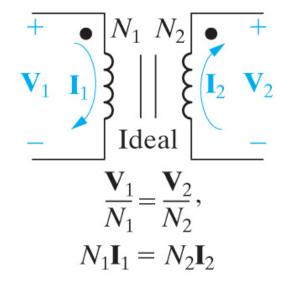
$$\frac{Z_{ab}}{Z_L} = \frac{\mathbf{V}_1/\mathbf{I}_1}{\mathbf{V}_2/\mathbf{I}_2} = \frac{\mathbf{V}_1}{\mathbf{V}_2}\frac{\mathbf{I}_2}{\mathbf{I}_1} = \left(\frac{N_1}{N_2}\right)^2, \implies Z_{in} = Z_{ab} = \left(\frac{N_1}{N_2}\right)^2 Z_L.$$

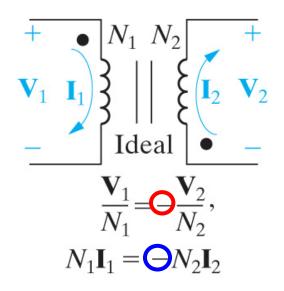
• For lossy transformer, $\Rightarrow Z_{ab} \rightarrow R_1 + \left(\frac{N_1}{N_2}\right)^2 (R_2 + Z_L).$

Polarity of the voltage and current ratios



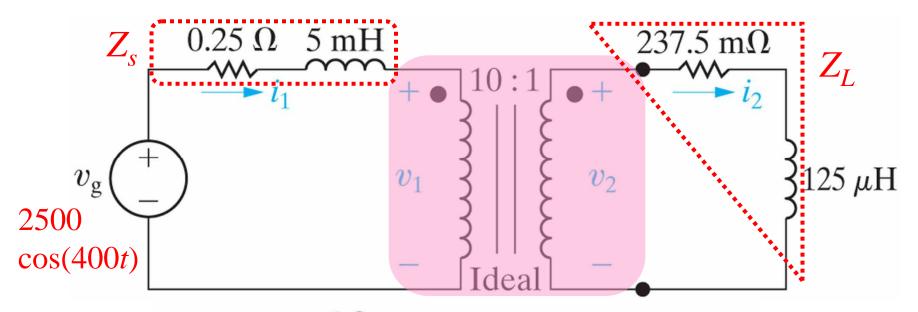


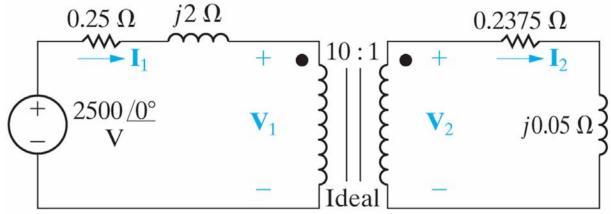




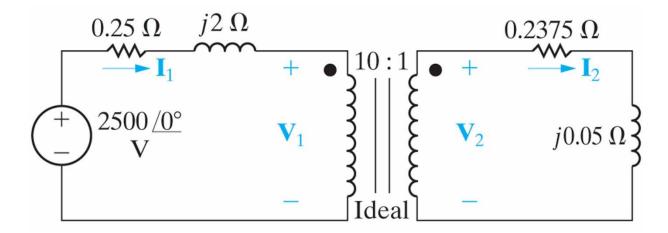
Example 9.14 (1)

Q: Find v_1, i_1, v_2, i_2 .





Example 9.14 (2)



$$2500 \angle 0^{\circ} = (0.25 + j2)\mathbf{I}_{1} + \mathbf{V}_{1} \cdots (1)$$

$$\begin{cases} \mathbf{V}_{2} = (0.2375 + j0.05)\mathbf{I}_{2}, \\ \mathbf{V}_{1} = 10\mathbf{V}_{2}, \ \mathbf{I}_{2} = 10\mathbf{I}_{1}, \end{cases} \Rightarrow \mathbf{V}_{1} = (23.75 + j5)\mathbf{I}_{1} \cdots (2)$$

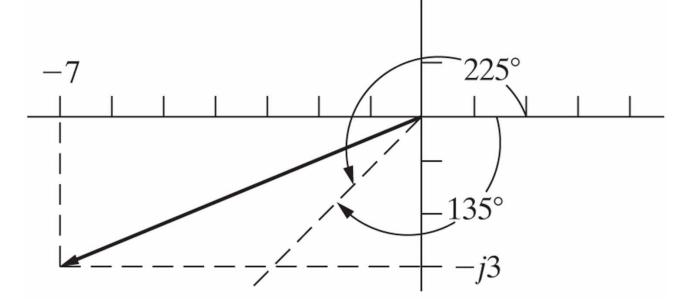
(2) \rightarrow (1): $\mathbf{I}_1 = \frac{2500 \angle 0^\circ}{24 + j7} = 100 \angle -16.26^\circ, \ i_1 = 100\cos(400t - 16.26^\circ).$

By (2): $\mathbf{V}_1 = (23.75 + j5)(100 \angle -16.26^\circ) = 2427 \angle -4.37^\circ, v_1 = \dots$

Section 9.12 Phasor Diagrams

Definition

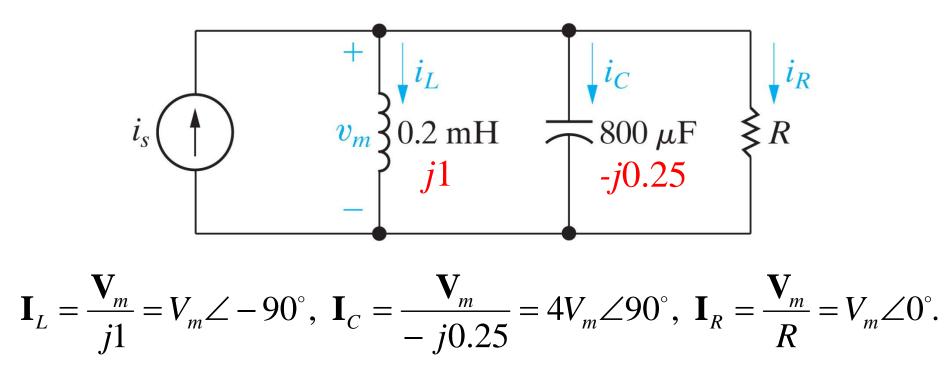
• Graphical representation of $-7-j3 = 7.62 \angle -156.8^{\circ}$ on the complex-number plane.



Without calculation, we can anticipate a magnitude >7, and a phase in the 3rd quadrant.

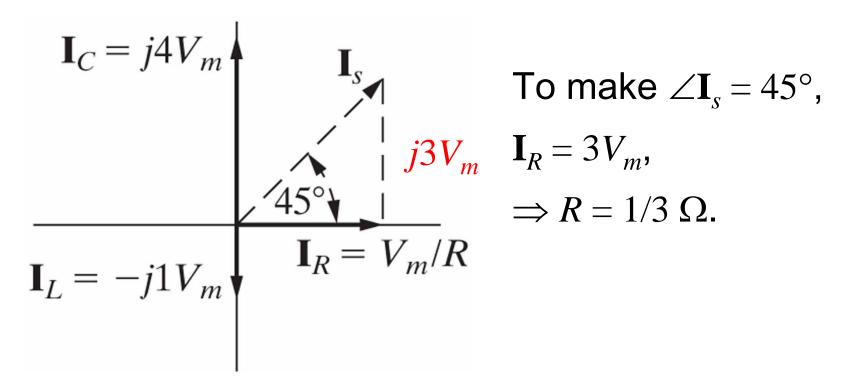
Example 9.15 (1)

• Q: Use a phasor diagram to find the value of *R* that will cause i_R to lag the source current i_s by 45° when $\omega = 5$ krad/s.



Example 9.15 (2)

By KCL, $\mathbf{I}_s = \mathbf{I}_L + \mathbf{I}_C + \mathbf{I}_R$. Addition of the 3 current phasors can be visualized by vector summation on a phase diagram:



Key points

- What is the phase of a sinusoidal function?
- What is the phasor of a sinusoidal function?
- What is the phase of an impedance? What are in-phase and quadrature?
- How to solve the sinusoidal steady-state response by using phasor and impedance?
- What is the reflected impedance of a circuit with transformer?