

# EE641000 Quantum Information and Computation

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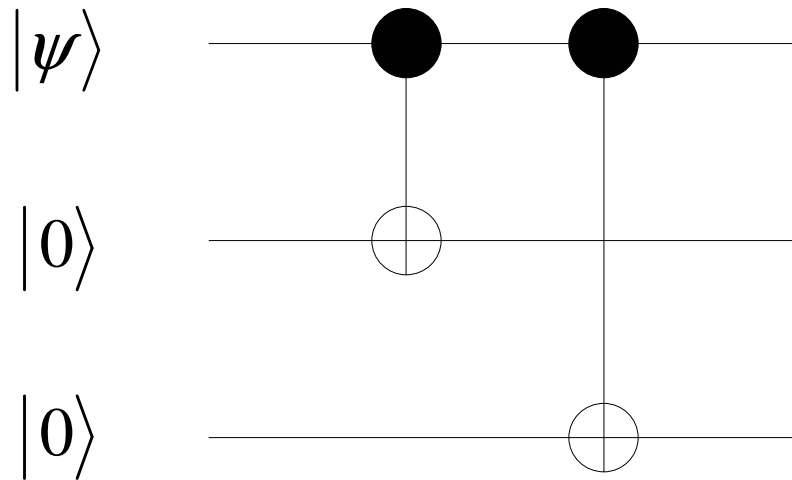
# Unit Seven – Quantum Error-Correcting Codes

## A Three-Qubit Code over Bit Flip Channel

## Obstacles

- No cloning : states cannot be cloned like in classical repetition codes
- Error is continuous : the "amount" of error a state (which is continuous in the state space of a quantum system) will face with is dependent on the state itself
  - The bit flip channel will not affect the state  $(|0\rangle + |1\rangle)/\sqrt{2}$  of a qubit at all
  - The bit flip channel will change the state  $|0\rangle$  of a qubit to the state  $|1\rangle$  ( and vice versa) totally
- Measurement may destroy quantum information : decoding procedure needs observation of the channel output, which may destroy the quantum state under observation and make recovery impossible

## Encoding Algorithm



- $|0\rangle \mapsto |000\rangle$
- $|1\rangle \mapsto |111\rangle$
- $a|0\rangle + b|1\rangle \mapsto a|000\rangle + b|111\rangle$

## Output of the Bit Flip Channel

- Assumption : each of the three encoded qubits is affected by a bit flip channel independently
- $E_{ijk} = E_i \otimes E_j \otimes E_k$  with  $i, j, k \in \{0, 1\}$  : a list of linear operators on the three-qubit system
  - $E_0 = \sqrt{1-p}I$  and  $E_1 = \sqrt{p}\sigma_x$  :

$$E_0^\dagger E_0 = (1-p)I, \quad E_1^\dagger E_1 = pI$$

- Completeness identity :

$$\begin{aligned}
 \sum_{ijk} E_{ijk}^\dagger E_{ijk} &= \sum_{ijk} E_i^\dagger E_i \otimes E_j^\dagger E_j \otimes E_k^\dagger E_k \\
 &= \sum_{ijk} (1-p)^{1-i} p^i (1-p)^{1-j} p^j (1-p)^{1-k} p^k I \otimes I \otimes I \\
 &= ((1-p) + p)^3 I = I
 \end{aligned}$$

- $\mathcal{E}$  : quantum operation which describes the three-qubit bit flip channel

$$\mathcal{E}(\rho) = \sum_{ijk} E_{ijk} \rho E_{ijk}^\dagger$$

- Input state of the channel :  $|\psi\rangle = a|000\rangle + b|111\rangle$
- Output state of the channel : a mixed state

$$\mathcal{E}(|\psi\rangle\langle\psi|) = \sum_{ijk} E_{ijk} |\psi\rangle\langle\psi| E_{ijk}^\dagger$$

with ensemble  $\{\lambda_{ijk}, E_{ijk}|\psi\rangle/\lambda_{ijk}\}$  where

$$E_{ijk}|\psi\rangle = aE_i|0\rangle E_j|0\rangle E_k|0\rangle + bE_i|1\rangle E_j|1\rangle E_k|1\rangle$$

and

$$\lambda_{ijk} = \langle\psi|E_{ijk}^\dagger E_{ijk}|\psi\rangle = (1-p)^{1-i}p^i(1-p)^{1-j}p^j(1-p)^{1-k}p^k$$

- When  $a = b$ ,  $E_{ijk}(|\psi\rangle) = E_{1-i,1-j,1-k}(|\psi\rangle)$  and the ensemble of the mixed state  $\mathcal{E}(|\psi\rangle\langle\psi|)$  can be simplified

## Syndrome Measurement and Syndrome

- A thinking : each intact or corrupted state in the ensemble  $\{\lambda_{ijk}, E_{ijk}|\psi\rangle/\lambda_{ijk}\}$  of the channel output state  $\mathcal{E}(|\psi\rangle\langle\psi|)$  is in one of the following *orthogonal* subspaces of the state space of the three-qubit system

$$\begin{aligned} G_0 &= \text{Span}\{|000\rangle, |111\rangle\}, & G_1 &= \text{Span}\{|100\rangle, |011\rangle\}, \\ G_2 &= \text{Span}\{|010\rangle, |101\rangle\}, & G_3 &= \text{Span}\{|001\rangle, |110\rangle\} \end{aligned}$$

- Syndrome measurement : a measurement which is able to tell us what error, if any, occurred on the quantum state *without destroying the quantum state*



- $\{P_0, P_1, P_2, P_3\}$  : a legitimate projective measurement where  $P_i$  is the projector of the subspace  $G_i$

$$P_0 = |000\rangle\langle 000| + |111\rangle\langle 111|, \quad P_1 = |100\rangle\langle 100| + |011\rangle\langle 011|,$$

$$P_2 = |010\rangle\langle 010| + |101\rangle\langle 101|, \quad P_3 = |001\rangle\langle 001| + |110\rangle\langle 110|$$

- Syndrome : the result of the syndrome measurement
  - Syndrome 0 : with probability

$$\begin{aligned} \text{tr}(P_0 \mathcal{E}(|\psi\rangle\langle\psi|) P_0) &= \text{tr}(E_{000} |\psi\rangle\langle\psi| E_{000}^\dagger + E_{111} |\psi\rangle\langle\psi| E_{111}^\dagger) \\ &= (1-p)^3 + p^3 \end{aligned}$$

and the state after the syndrome measurement is

$$\frac{E_{000} |\psi\rangle\langle\psi| E_{000}^\dagger + E_{111} |\psi\rangle\langle\psi| E_{111}^\dagger}{(1-p)^3 + p^3}$$

- Syndrome 1 : with probability

$$\begin{aligned}\text{tr}(P_1 \mathcal{E}(|\psi\rangle\langle\psi|) P_1) &= \text{tr}(E_{100}|\psi\rangle\langle\psi|E_{100}^\dagger + E_{011}|\psi\rangle\langle\psi|E_{011}^\dagger) \\ &= (1-p)^2 p + (1-p)p^2 = (1-p)p\end{aligned}$$

and the state after the syndrome measurement is

$$\frac{E_{100}|\psi\rangle\langle\psi|E_{100}^\dagger + E_{011}|\psi\rangle\langle\psi|E_{011}^\dagger}{(1-p)p}$$

- Syndrome 2 : with probability

$$\begin{aligned}\text{tr}(P_2 \mathcal{E}(|\psi\rangle\langle\psi|) P_2) &= \text{tr}(E_{010}|\psi\rangle\langle\psi|E_{010}^\dagger + E_{101}|\psi\rangle\langle\psi|E_{101}^\dagger) \\ &= (1-p)^2 p + (1-p)p^2 = (1-p)p\end{aligned}$$

and the state after the syndrome measurement is

$$\frac{E_{010}|\psi\rangle\langle\psi|E_{010}^\dagger + E_{101}|\psi\rangle\langle\psi|E_{101}^\dagger}{(1-p)p}$$

- Syndrome 3 : with probability

$$\begin{aligned}\text{tr}(P_3\mathcal{E}(|\psi\rangle\langle\psi|)P_3) &= \text{tr}(E_{001}|\psi\rangle\langle\psi|E_{001}^\dagger + E_{110}|\psi\rangle\langle\psi|E_{110}^\dagger) \\ &= (1-p)^2p + (1-p)p^2 = (1-p)p\end{aligned}$$

and the state after the syndrome measurement is

$$\frac{E_{001}|\psi\rangle\langle\psi|E_{001}^\dagger + E_{110}|\psi\rangle\langle\psi|E_{110}^\dagger}{(1-p)p}$$

- Ambiguity : two intact or corrupted states in the ensemble  $\{\lambda_{ijk}, E_{ijk}|\psi\rangle/\lambda_{ijk}\}$  of the channel output state  $\mathcal{E}(|\psi\rangle\langle\psi|)$  will produce the same syndrome measurement output, called *syndrome*

- Syndrome 0 :  $E_{000}|\psi\rangle/\lambda_{000}$  and  $E_{111}|\psi\rangle/\lambda_{111}$
- Syndrome 1 :  $E_{100}|\psi\rangle/\lambda_{100}$  and  $E_{011}|\psi\rangle/\lambda_{011}$
- Syndrome 2 :  $E_{010}|\psi\rangle/\lambda_{010}$  and  $E_{101}|\psi\rangle/\lambda_{101}$
- Syndrome 3 :  $E_{001}|\psi\rangle/\lambda_{001}$  and  $E_{110}|\psi\rangle/\lambda_{110}$

- Cosets : a coset is the set of all states in the ensemble  $\{\lambda_{ijk}, E_{ijk}|\psi\rangle/\lambda_{ijk}\}$  of the channel output state  $\mathcal{E}(|\psi\rangle\langle\psi|)$  which will result in the same syndrome

## Undetectable Error Probability

- Undetectable error patterns : event patterns other than  $E_{000}|\psi\rangle/\lambda_{000}$  in the coset of  $E_{000}|\psi\rangle/\lambda_{000}$ , which is just  $E_{111}|\psi\rangle/\lambda_{111}$
- Undetectable error probability :  $\lambda_{111} = p^3$

## Uncorrectable Error Probability

- Correctable error patterns : (error) patterns each of which is selected from distinct cosets of the ensemble of the channel output states
  - We usually select a pattern with the largest probability of occurrence from a coset as a correctable error pattern
  - If  $p \leq 0.5$ , we select the following correctable error patterns

$$E_{000}|\psi\rangle/\lambda_{000}, E_{100}|\psi\rangle/\lambda_{100}, E_{010}|\psi\rangle/\lambda_{010}, E_{001}|\psi\rangle/\lambda_{001}$$

- Uncorrectable error probability : the sum of the probability of occurrence of each uncorrectable error pattern, which is

$$\lambda_{110} + \lambda_{011} + \lambda_{101} + \lambda_{111} = 3(1-p)p^2 + p^3$$

## Decoding Algorithm

- Conditioned on the syndrome, the decoding procedure takes the following actions
  - Syndrome 0 : do nothing
  - Syndrome 1 : flip qubit one
  - Syndrome 2 : flip qubit two
  - Syndrome 3 : flip qubit three
- All correctable error patterns can be completely removed and in those cases, the original state is recovered perfectly

## Alternative Syndrome Measurements by Two Observables

- $Z_1 Z_2 (= Z \otimes Z \otimes I)$  : the first observable with spectral decomposition

$$\begin{aligned} Z_1 Z_2 &= (|0\rangle\langle 0| - |1\rangle\langle 1|) \otimes (|0\rangle\langle 0| - |1\rangle\langle 1|) \otimes I \\ &= (|00\rangle\langle 00| + |11\rangle\langle 11|) \otimes I - (|01\rangle\langle 01| + |10\rangle\langle 10|) \otimes I \end{aligned}$$

- A projective measurement with projectors

$$P_{12}^{+1} = (|00\rangle\langle 00| + |11\rangle\langle 11|) \otimes I, P_{12}^{-1} = (|01\rangle\langle 01| + |10\rangle\langle 10|) \otimes I$$

- Outcome (syndrome) +1 : when the values of the first and the second qubits are the same
- Outcome (syndrome) -1 : when the values of the first and the second qubits are different
- The observable  $Z_1 Z_2$  provides one bit of information about the error pattern without destroying the channel output



quantum state

- $Z_2Z_3(= I \otimes Z \otimes Z)$  : the second observable with spectral decomposition

$$\begin{aligned} Z_2Z_3 &= I \otimes (|0\rangle\langle 0| - |1\rangle\langle 1|) \otimes (|0\rangle\langle 0| - |1\rangle\langle 1|) \\ &= I \otimes (|00\rangle\langle 00| + |11\rangle\langle 11|) - I \otimes (|01\rangle\langle 01| + |10\rangle\langle 10|) \end{aligned}$$

- A projective measurement with projectors

$$P_{23}^{+1} = I \otimes (|00\rangle\langle 00| + |11\rangle\langle 11|), P_{23}^{-1} = I \otimes (|01\rangle\langle 01| + |10\rangle\langle 10|)$$

- Outcome (syndrome) +1 : when the values of the second and the third qubits are the same
- Outcome (syndrome) -1 : when the values of the second and the third qubits are different
- The observable  $Z_2Z_3$  provides one bit of information about the error pattern without destroying the channel output quantum state

- Syndrome +1+1 : with probability

$$\begin{aligned}
& \text{tr}(P_{12}^{+1} \mathcal{E}(|\psi\rangle\langle\psi|) P_{12}^{+1}) \cdot \text{tr} \left( P_{23}^{+1} \frac{P_{12}^{+1} \mathcal{E}(|\psi\rangle\langle\psi|) P_{12}^{+1}}{\text{tr}(P_{12}^{+1} \mathcal{E}(|\psi\rangle\langle\psi|) P_{12}^{+1})} P_{23}^{+1} \right) \\
&= \text{tr} (P_{23}^{+1} P_{12}^{+1} \mathcal{E}(|\psi\rangle\langle\psi|) P_{12}^{+1} P_{23}^{+1}) \\
&= \text{tr}(E_{000} |\psi\rangle\langle\psi| E_{000}^\dagger + E_{111} |\psi\rangle\langle\psi| E_{111}^\dagger) \\
&= (1-p)^3 + p^3
\end{aligned}$$

and the state after the two projective measurements

$$\frac{P_{23}^{+1} \frac{P_{12}^{+1} \mathcal{E}(|\psi\rangle\langle\psi|) P_{12}^{+1}}{\text{tr}(P_{12}^{+1} \mathcal{E}(|\psi\rangle\langle\psi|) P_{12}^{+1})} P_{23}^{+1}}{\text{tr} \left( P_{23}^{+1} \frac{P_{12}^{+1} \mathcal{E}(|\psi\rangle\langle\psi|) P_{12}^{+1}}{\text{tr}(P_{12}^{+1} \mathcal{E}(|\psi\rangle\langle\psi|) P_{12}^{+1})} P_{23}^{+1} \right)} = \frac{E_{000} |\psi\rangle\langle\psi| E_{000}^\dagger + E_{111} |\psi\rangle\langle\psi| E_{111}^\dagger}{(1-p)^3 + p^3}$$

- This is the same as when syndrome 0 is produced by the previous syndrome measurement
- Syndrome -1+1 : the same as syndrome 1 in the previous syndrome measurement

- Syndrome -1-1 : the same as syndrome 2 in the previous syndrome measurement
- Syndrome +1-1 : the same as syndrome 3 in the previous syndrome measurement

## A Three-Qubit Code over Phase Flip Channel

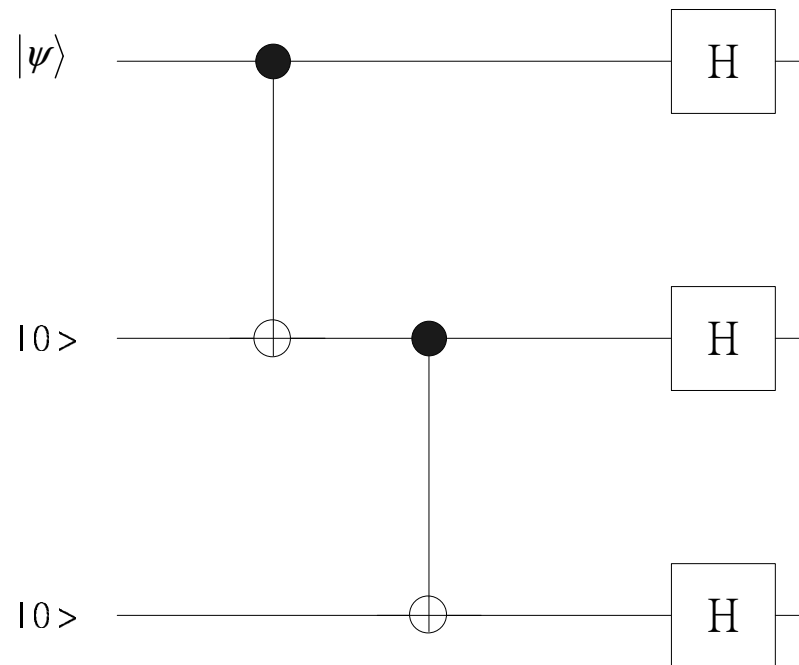
## Turning Phase Flip Channel to Bit Flip Channel

- $\{|0\rangle, |1\rangle\}$  : the computational basis of a qubit
- $|+\rangle = (|0\rangle + |1\rangle)/\sqrt{2}$ ,  $|-\rangle = (|0\rangle - |1\rangle)/\sqrt{2}$  : another orthonormal basis of the state space of the qubit
- $|\psi\rangle = a|+\rangle + b|-\rangle$  : a state of the qubit as channel input state
- Phase flip channel  $\mathcal{E}_{pf}$  : with probability  $1 - p$ , the output state is the same as the input state and with probability  $p$ , the output state becomes

$$\sigma_z|\psi\rangle = a|-\rangle + b|+\rangle$$

- The effect of the phase flip channel is to exchange the two states  $|+\rangle$  and  $|-\rangle$ , similar to the bit flip channel to exchange the two states  $|0\rangle$  and  $|1\rangle$

## Encoding Algorithm



- $|0\rangle \mapsto |+++ \rangle$
- $|1\rangle \mapsto |-- - \rangle$
- $a|0\rangle + b|1\rangle \mapsto a|+++ \rangle + b|-- - \rangle$

## Output of the Phase Flip Channel

- Assumption : each of the three encoded qubits is affected by a phase flip channel independently
- $E_{ijk} = E_i \otimes E_j \otimes E_k$  with  $i, j, k \in \{0, 1\}$  : a list of linear operators on the three-qubit system
  - $E_0 = \sqrt{1-p}I$  and  $E_1 = \sqrt{p}\sigma_z$  :

$$E_0^\dagger E_0 = (1-p)I, \quad E_1^\dagger E_1 = pI$$

- Completeness identity :

$$\begin{aligned}
 \sum_{ijk} E_{ijk}^\dagger E_{ijk} &= \sum_{ijk} E_i^\dagger E_i \otimes E_j^\dagger E_j \otimes E_k^\dagger E_k \\
 &= \sum_{ijk} (1-p)^{1-i} p^i (1-p)^{1-j} p^j (1-p)^{1-k} p^k I \otimes I \otimes I \\
 &= ((1-p) + p)^3 I = I
 \end{aligned}$$

- $\mathcal{E}$  : quantum operation which describes the three-qubit phase flip channel

$$\mathcal{E}(\rho) = \sum_{ijk} E_{ijk} \rho E_{ijk}^\dagger$$

- Input state of the channel :  $|\psi\rangle = a|+++\rangle + b|---\rangle$
- Output state of the channel : a mixed state

$$\mathcal{E}(|\psi\rangle\langle\psi|) = \sum_{ijk} E_{ijk} |\psi\rangle\langle\psi| E_{ijk}^\dagger$$

with ensemble  $\{\lambda_{ijk}, E_{ijk}|\psi\rangle/\lambda_{ijk}\}$  where

$$E_{ijk}|\psi\rangle = aE_i|+\rangle E_j|+\rangle E_k|+\rangle + bE_i|-\rangle E_j|-\rangle E_k|-\rangle$$

and

$$\lambda_{ijk} = \langle\psi|E_{ijk}^\dagger E_{ijk}|\psi\rangle = (1-p)^{1-i}p^i(1-p)^{1-j}p^j(1-p)^{1-k}p^k$$

- When  $a = b$ ,  $E_{ijk}(|\psi\rangle) = E_{1-i,1-j,1-k}(|\psi\rangle)$  and the ensemble of the mixed state  $\mathcal{E}(|\psi\rangle\langle\psi|)$  can be simplified



## Syndrome Measurement and Syndrome

- A thinking : each intact or corrupted state in the ensemble  $\{\lambda_{ijk}, E_{ijk}|\psi\rangle/\lambda_{ijk}\}$  of the channel output state  $\mathcal{E}(|\psi\rangle\langle\psi|)$  is in one of the following *orthogonal* subspaces of the state space of the three-qubit system

$$G'_0 = \text{Span}\{|+++\rangle, |---\rangle\}, \quad G'_1 = \text{Span}\{|-++\rangle, |+-\rangle\},$$

$$G'_2 = \text{Span}\{|+ - +\rangle, |- + -\rangle\}, \quad G'_3 = \text{Span}\{|+ + -\rangle, |- - +\rangle\}$$

- $\{P'_0, P'_1, P'_2, P'_3\}$  : a legitimate syndrome measurement where  $P'_i$

is the projector of the subspace  $G'_i$

$$P'_0 = |+++ \rangle \langle +++| + |-- - \rangle \langle -- -| = HP_0H,$$

$$P'_1 = |-++ \rangle \langle -++| + |+-- \rangle \langle +--| = HP_1H,$$

$$P'_2 = |+-+ \rangle \langle -+-| + |-+- \rangle \langle -+-| = HP_2H,$$

$$P'_3 = |++- \rangle \langle ++-| + |--+ \rangle \langle --+| = HP_3H$$

- $H^{\otimes 3}Z_1Z_2H^{\otimes 3} = X_1X_2$  and  $H^{\otimes 3}Z_2Z_3H^{\otimes 3} = X_2X_3$  : two consecutive observables as an alternative syndrome measurement

- $X_1X_2$  : comparing the sign of the first two qubits with spectral decomposition

$$X_1X_2 = (|++ \rangle \langle ++| + |-- \rangle \langle --|) \otimes I - (|+- \rangle \langle +-| + |-+ \rangle \langle -+|) \otimes I$$

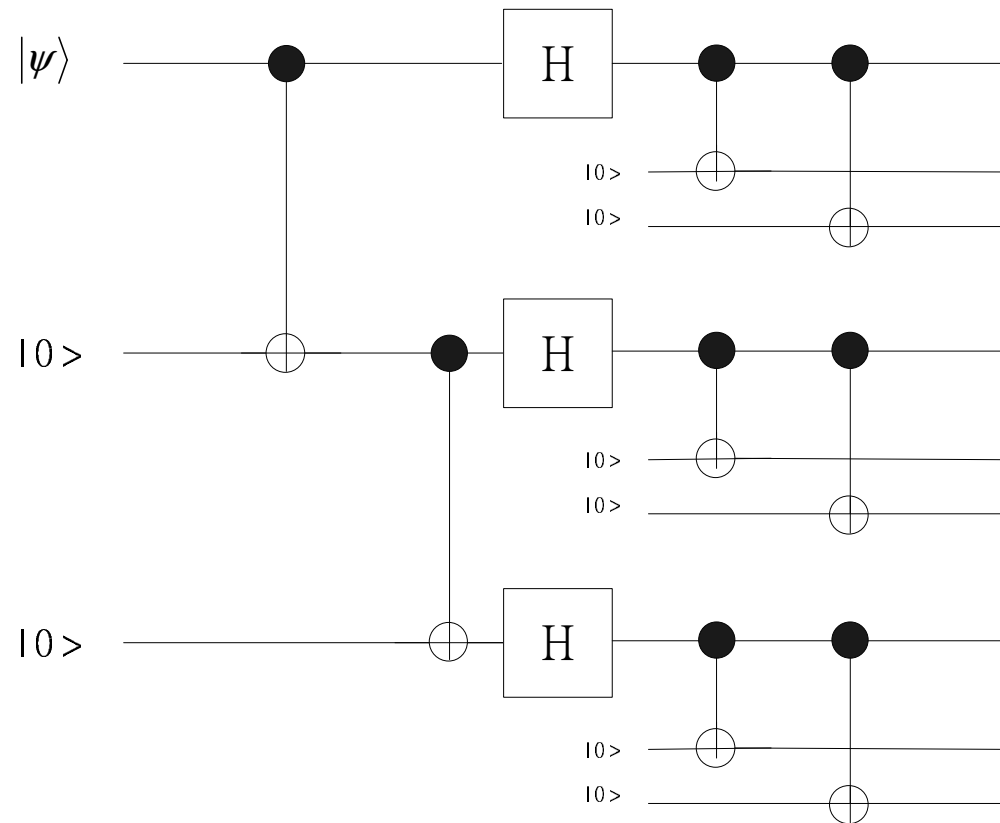
- $X_2X_3$  : comparing the sign of the last two qubits with

spectral decomposition

$$X_2 X_3 = I \otimes (|++\rangle\langle++| + |--\rangle\langle--|) - I \otimes (|+-\rangle\langle+-| + |-+\rangle\langle-+|)$$

# The Shor Code

- Correct an arbitrary error on a single qubit
- The encoding circuit diagram



## The Encoding Algorithm

There are two stages

- 1st stage : three-qubit phase flip code

$$|0\rangle \mapsto |+++ \rangle, \quad |1\rangle \mapsto |-- - \rangle$$

- 2nd stage : three-qubit bit flip code

$$|+\rangle \mapsto \frac{|000\rangle + |111\rangle}{\sqrt{2}}, \quad |-\rangle \mapsto \frac{|000\rangle - |111\rangle}{\sqrt{2}}$$

- A nine-qubit code

$$|0\rangle \mapsto |0_L\rangle = \frac{(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)(|000\rangle + |111\rangle)}{2\sqrt{2}},$$

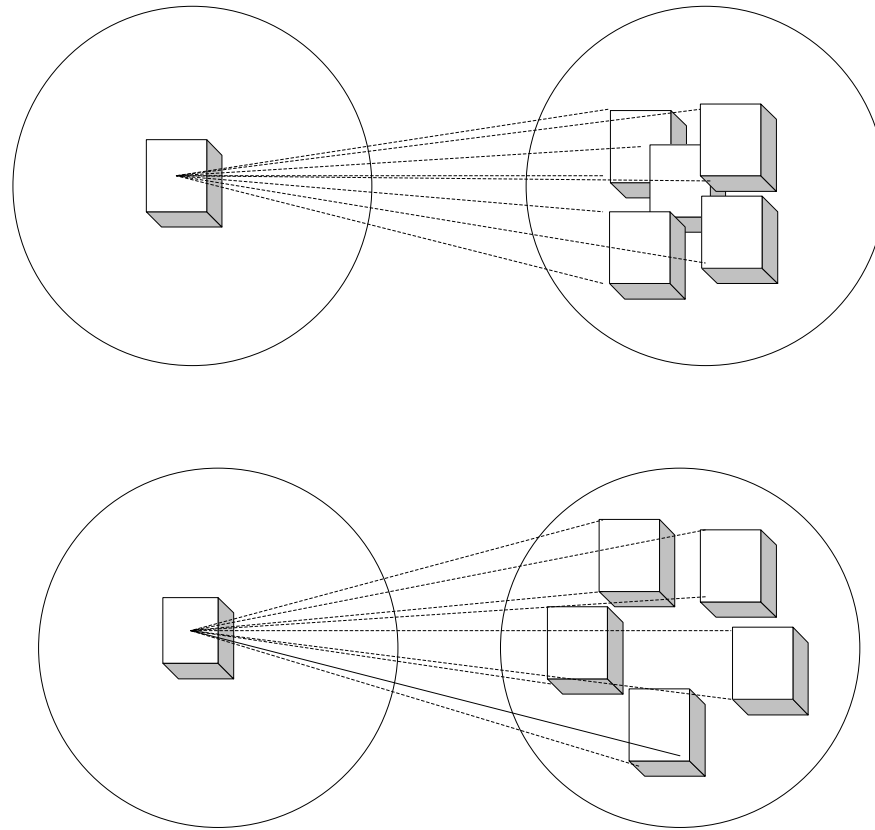
$$|1\rangle \mapsto |1_L\rangle = \frac{(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)(|000\rangle - |111\rangle)}{2\sqrt{2}}$$

## Theory of Quantum Error-Correcting Codes

## Key Features of Quantum Error-Correction

- Encoding : a unitary transformation which maps the state space of a  $k$ -qubit quantum system (embedded as a subspace of the state space  $H$  of an  $n$ -qubit quantum system, called the information space  $A$ ) into a quantum error-correcting code  $C$  (also as a subspace of  $H$ , called the code space)
  - $H$  : the state space of a 3-qubit quantum system
  - $A = \{(a|0\rangle + b|1\rangle) \otimes |0\rangle \otimes |0\rangle\}$  : the information space
  - $C = \{a|000\rangle + b|111\rangle\}$  : the code space
  - $P$  : the projector from  $H$  to the code space  $C$
- Noise : described by a quantum operation  $\mathcal{E}$  with operation elements  $\{E_i\}$ , which may not be trace-preserving
  - $E_i$  : correctable error patterns which map the code spaces into undeformed and orthogonal subspaces of  $H$

- \* Orthogonality : Reliable distinguishability by the syndrome measurement
- \* Undeformation : each error pattern  $E_i$  maps orthogonal codewords to orthogonal states in order to be able to recover codewords from the error





- Error-correction operation : a trace-preserving quantum operation  $\mathcal{R}$  such that for any state  $\rho$  whose support lies in the code space  $C$ , we have

$$(\mathcal{R} \circ \mathcal{E})(\rho) \propto \rho$$

## Quantum Error-Correcting Conditions

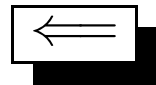
- $C$  : a quantum code
- $P$  : the projector onto  $C$
- $\mathcal{E}$  : a quantum operation with operation elements  $\{E_i\}$

A necessary and sufficient condition for the *existence* of an error-correction operation  $\mathcal{R}$  correcting  $\mathcal{E}$  on  $C$  is that

$$PE_i^\dagger E_j P = \alpha_{ij} P$$

for some Hermitian matrix  $\alpha$  of complex numbers

- $E_i$  : (noise  $\mathcal{E}$ ) error patterns and if such an error-correction operation  $\mathcal{R}$  exists, correctable error patterns



- $d = u^\dagger \alpha u$  : a diagonalization of the Hermitian matrix  $\alpha$  by the unitary matrix  $u$
- $F_k \triangleq \sum_i u_{ik} E_i$  : a unitary equivalent set of operation elements for the noise  $\mathcal{E}$

$$PF_k^\dagger F_l P = \sum_{ij} u_{ki}^\dagger u_{jl} P E_i^\dagger E_j P = \sum_{ij} u_{ki}^\dagger \alpha_{ij} u_{jl} P = d_{kl} P$$

- $d_{kk} \geq 0$  :  $PF_k^\dagger F_l P$  is a positive operator
- \*  $\alpha$  : a positive operator
- If  $d_{kk} = 0$  then  $F_k$  is the zero operator and will be ignored

- $F_k P = U_k \sqrt{P F_k^\dagger F_k P} = \sqrt{d_{kk}} U_k P$  : left polar decomposition of  $F_k P$ , where  $U$  is a unitary operator
  - $F_k$  : rotating the code space  $C = P(H)$  into the subspace defined by the projector

$$P_k = U_k P U_k^\dagger = F_k P U_k^\dagger / \sqrt{d_{kk}}$$

- $\{P_k(H)\}$  : a collection of orthogonal subspaces of  $H$

$$P_k P_l = P_k^\dagger P_l = \frac{U_k P F_k^\dagger F_l P U_l^\dagger}{\sqrt{d_{kk} d_{ll}}} = \frac{d_{kl} U_k P U_l^\dagger}{\sqrt{d_{kk} d_{ll}}}$$

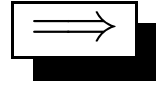
- $\{P_k\}$  : a projective measurement as a syndrome measurement, where additional projectors  $P_{k'}$  may be augmented to satisfy the completeness relation  $\sum_k P_k + \sum_{k'} P_{k'} = I$
- $U_k^\dagger$  : recovery operator when the syndrome is  $k$

- $\mathcal{R}(\sigma) = \sum_k U_k^\dagger P_k \sigma P_k U_k$  : the error-correction operation
- $\rho$  : a density operator whose support is in the code space  $C$ , i.e.,  $\rho = P\rho$  and then  $\sqrt{\rho} = P\sqrt{\rho}$ , which implies

$$\begin{aligned}
 U_k^\dagger P_k F_l \sqrt{\rho} &= U_k^\dagger P_k^\dagger F_l P \sqrt{\rho} \\
 &= U_k^\dagger U_k P F_k^\dagger F_l \sqrt{\rho} / \sqrt{d_{kk}} \\
 &= \delta_{kl} \sqrt{d_{kk}} P \sqrt{\rho} \\
 &= \delta_{kl} \sqrt{d_{kk}} \sqrt{\rho}
 \end{aligned}$$

- $\mathcal{R}(\mathcal{E}(\rho)) \propto \rho$  :

$$\begin{aligned}
 \mathcal{R}(\mathcal{E}(\rho)) &= \sum_{kl} U_k^\dagger P_k F_l \rho F_l^\dagger P_k U_k \\
 &= \sum_{kl} \delta_{kl} d_{kk} \rho = \left( \sum_k d_{kk} \right) \rho \propto \rho
 \end{aligned}$$



- $\{E_i\}$  : correctable (noise  $\mathcal{E}$ ) error patterns
- $\mathcal{R}$  : error-correction operation with operation elements  $\{R_j\}$
- $\mathcal{E}_C$  : a quantum operation such that for any density operator  $\rho$ , not necessarily having support in the code space  $C$ , we have

$$\mathcal{E}_C(\rho) = \mathcal{E}(P\rho P)$$

- $\mathcal{R}(\mathcal{E}_C(\rho)) = \mathcal{R}(\mathcal{E}(P\rho P)) \propto P\rho P$  : the operator  $P\rho P$  has support in  $C$  and the proportional positive constant  $c$  is independent of  $\rho$  since both  $\mathcal{R} \circ \mathcal{E}_C$  and  $P \cdot P$  are linear maps, we have

$$\sum_{ij} R_j E_i P \rho P E_i^\dagger R_j^\dagger = c P \rho P$$

for any density operator  $\rho$

- $\{R_j E_i P\}$  and  $\{\sqrt{c}P\}$  : two sets of operation elements for the same quantum operation and by the unitary freedom, we have

$$R_k E_l P = \beta_{kl} P,$$

where  $\beta_{kl}$  are complex numbers, and then

$$P E_i^\dagger R_k^\dagger R_k E_j P = \beta_{ki}^* P \beta_{kj} P = \beta_{ki}^* \beta_{kj} P$$

and summing over  $k$ , we have

$$P E_i^\dagger E_j P = \left( \sum_k \beta_{ki}^* \beta_{kj} \right) P = \alpha_{ij} P$$

with  $\alpha_{ij} = \sum_k \beta_{ki}^* \beta_{kj}$  a Hermitian matrix, since

$$\sum_k R_k^\dagger R_k = I$$

## The Error Discretization Theorem

- $C$  : a quantum code
- $P$  : the projector onto  $C$
- $\mathcal{R}$  : the error-correction operation
- $\mathcal{E}$  : a quantum operation with correctable error patterns (operation elements)  $\{E_i\}$
- $\mathcal{F}$  : a quantum operation with error patterns (operation elements)  $\{F_j\}$  which are *linear combinations* of the correctable error patterns  $E_i$ , i.e,  $F_j = \sum_i \beta_{ji} E_i$  for any complex numbers  $\beta_{ji}$

Then for any density operator  $\rho$  whose support is in  $C$ , we have

$$\mathcal{R}(\mathcal{F}(\rho)) \propto \rho$$



## Proof

- $PE_i^\dagger E_j P = d_{ij} P$  : the matrix  $[d_{ij}]$  is diagonal with positive entries
- $\{U_k^\dagger P_k\}$  : operation elements of the error-correction operation  $\mathcal{R}$  such that for any density operator  $\rho$  whose support is in the code space  $\mathcal{C}$

$$U_k^\dagger P_k E_i \sqrt{\rho} = \delta_{ki} \sqrt{d_{kk}} \sqrt{\rho}$$

which implies that

$$U_k^\dagger P_k F_j \sqrt{\rho} = \sum_i \beta_{ji} \delta_{ki} \sqrt{d_{kk}} \sqrt{\rho} = m_{jk} \sqrt{d_{kk}} \sqrt{\rho}$$

and thus

$$\mathcal{R}(\mathcal{F}(\rho)) = \sum_{kj} U_k^\dagger P_k F_j \sqrt{\rho} F_j^\dagger P_k U_k = \sum_{jk} |m_{jk}|^2 d_{kk} \rho \propto \rho$$

## A Theory of Classical Binary Linear Block Codes

## Binary Linear Block Codes

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## Construction of Quantum Error-Correcting Codes

## Calderbank-Shor-Steane Codes

- $C_1$  and  $C_2 : [n, k_1]$  and  $[n, k_2]$  classical binary linear codes with
  - $C_2 \subset C_1$
  - $C_1$  and  $C_2^\perp$  both correct  $t$  errors
- $\bar{x} = x + C_2$  : a coset of  $C_2$  in  $C_1$  containing  $x \in C_1$
- $H$  : the state space of an  $n$ -qubit quantum system
- $|\bar{x}\rangle = |x + C_2\rangle$  : a state in  $H$  corresponding to the coset  $\bar{x} = x + C_2$

$$|\bar{x}\rangle = |x + C_2\rangle = \frac{1}{\sqrt{|C_2|}} \sum_{y \in C_2} |x + y\rangle$$

- The  $[n, k_1 - k_2]$  quantum code  $\text{CSS}(C_1, C_2)$  : the subspace of  $H$  spanned by the orthonormal set  $\{|\bar{x}\rangle, \bar{x} \in C_1/C_2\}$

## Error Model

- Independent error model : error affects each qubit independently
- The error discretization theorem : an arbitrary single-qubit error pattern (a linear combination of the error patterns  $I, \sigma_x, \sigma_z, \sigma_x \sigma_z$ ) is correctable if  $\{I, \sigma_x, \sigma_z, \sigma_x \sigma_z\}$  are correctable error patterns
  - The error pattern  $\sigma_x \sigma_z$  is the total effect of firstly applying error pattern  $\sigma_z$  and then secondly applying error pattern  $\sigma_x$
- $e_z$  :  $n$ -bit phase flip (error pattern) indicator with 1s where phase flip occur and 0s otherwise
- $e_x$  :  $n$ -bit bit flip (error pattern) indicator with 1s where bit flip occur and 0s otherwise

- An error pattern with which each qubit is affected by any of the single qubit error patterns  $I, \sigma_x, \sigma_z, \sigma_x \sigma_z$  can be represented by an indicator as the concatenation  $e_x \circ e_z$  of a bit flip indicator  $e_x$  and a phase flip indicator  $e_z$ 
  - An example :  $(1, 0, 0, 1) \circ (0, 1, 0, 1)$  means that the first qubit is affected by a bit flip error, the second qubit is affected by a phase flip error, the third qubit is error-free, and the last qubit is affected by a bit and phase flip error
  - The effect of error pattern with indicator  $e_x \circ e_z$  : for a computational basis  $\{|l\rangle\}$  of  $H$ , we have

$$|l\rangle \xrightarrow{e_x \circ e_z} (-1)^{l \cdot e_z} |l + e_x\rangle$$

- Correctable error patterns : all error patterns with indicator  $e_x \circ e_z$  such that  $w_H(e_x) \leq t$  and  $w_H(e_z) \leq t$

## Error-Detection and Error-Correction

- $|\bar{x}\rangle = |x + C_2\rangle = \frac{1}{\sqrt{|C_2|}} \sum_{y \in C_2} |x + y\rangle$  : the transmitted codeword
- $e_x \circ e_z$  : the correctable error pattern occurred
- $|r\rangle = \frac{1}{\sqrt{|C_2|}} \sum_{y \in C_2} (-1)^{(x+y) \cdot e_z} |x + y + e_x\rangle$  : the received (corrupted) state
- Two stages : firstly detect and correct the bit flip error indicator  $e_x$  and secondly detect and correct the phase flip error indicator
- $A_1$  : a  $k_1$ -qubit ancillary quantum system to store the syndrome of  $C_1$ , whose initial state is set to  $|0\rangle$
- $H_1$  : a parity-check matrix of the classical binary linear code  $C_1$
- $C_1$ -syndrome calculation : a unitary operator on the



$(n + k_1)$ -qubit composite system

$$|x + y + e_x\rangle|0\rangle \longrightarrow |x + y + e_x\rangle|H_1(x + y + e_x)\rangle = |x + y + e_x\rangle|H_1e_x\rangle$$

- Since  $x + y \in C_1$ , we have  $H_1(x + y) = 0$
- Since  $C_1$  can correct up to  $t$  classical errors,  $x + y + e_x$  are all different for different coset leader  $x$  in  $C_1/C_2$ , different  $y \in C_2$  and different  $e_x$  with  $w_H(e_x) \leq t$
- $\frac{1}{\sqrt{|C_2|}} \sum_{y \in C_2} (-1)^{(x+y) \cdot e_z} |x + y + e_x\rangle|H_1e_x\rangle$  : the state of the  $(n + k_1)$ -qubit composite system after  $C_1$ -syndrome calculation
- Detection of the Bit flip error indicator  $e_x$  : projective measurement on the computational basis of the ancilla
  - The outcome is  $H_1e_x$  with probability 1 which is used to find the correctable error pattern  $e_x$  by any classical error-correcting procedure

- The state of the  $n$ -qubit system after the measurement is

$$\frac{1}{\sqrt{|C_2|}} \sum_{y \in C_2} (-1)^{(x+y) \cdot e_z} |x + y + e_x\rangle$$

- Correction of the Bit flip error indicator  $e_x$  : applying a bit flip operator  $\sigma_x$  to each qubit where a bit flip occurred and resulting in the state

$$\frac{1}{\sqrt{|C_2|}} \sum_{y \in C_2} (-1)^{(x+y) \cdot e_z} |x + y\rangle$$

- $H^{\otimes n}$  : applying a Hadamard gate to each qubit (to convert phase flip errors to bit flip errors) and leaving the state

$$\frac{1}{\sqrt{|C_2|2^n}} \sum_{k=0}^{2^n-1} \sum_{y \in C_2} (-1)^{(x+y) \cdot (e_z + k)} |k\rangle$$

$$\begin{aligned}
&= \frac{1}{\sqrt{|C_2|2^n}} \sum_{k'=0}^{2^n-1} \sum_{y \in C_2} (-1)^{(x+y) \cdot k'} |k' + e_z\rangle, \text{ where } k' = e_z + k \\
&= \frac{1}{\sqrt{2^n}/|C_2|} \sum_{k' \in C_2^\perp} (-1)^{x \cdot k'} |k' + e_z\rangle
\end{aligned}$$

– When  $k' \in C_2^\perp$ , we have  $y \cdot k' = 0$  for all  $y \in C_2$  and then

$$\sum_{y \in C_2} (-1)^{y \cdot (k')} = |C_2|$$

– When  $k' \notin C_2^\perp$ , we have  $y \cdot k' = 0$  for half of  $y \in C_2$  and  $y \cdot k' = 1$  for half of  $y \in C_2$  and then

$$\sum_{y \in C_2} (-1)^{y \cdot (k')} = 0$$

- $A_2$  : a  $(n - k_2)$ -qubit ancillary quantum system to store the syndrome of  $C_2^\perp$ , whose initial state is set to  $|0\rangle$

- $H_2$  : a parity-check matrix of the classical binary linear code  $C_2^\perp$
- $C_2^\perp$ -syndrome calculation : a unitary operator on the  $(2n - k_2)$ -qubit composite system

$$|k' + e_z\rangle|0\rangle \longrightarrow |k' + e_z\rangle|H_2(k' + e_z)\rangle = |k' + e_z\rangle|H_2e_z\rangle$$

- Since  $k' \in C_2^\perp$ , we have  $H_2k' = 0$
- Since  $C_2^\perp$  can correct up to  $t$  classical errors,  $k' + e_z$  are all different for different  $k' \in C_2^\perp$  and different  $e_z$  with  $w_H(e_z) \leq t$
- $\frac{1}{\sqrt{2^n/|C_2|}} \sum_{k' \in C_2^\perp} (-1)^{x \cdot k'} |k' + e_z\rangle|H_2e_z\rangle$  : the state of the  $(2n - k_2)$ -qubit composite system after  $C_2^\perp$ -syndrome calculation
- Detection of the Bit flip error indicator  $e_z$  : projective measurement on the computational basis of the ancilla

- The outcome is  $H_2 e_z$  with probability 1 which is used to find the correctable error pattern  $e_z$  by any classical error-correcting procedure
- The state of the  $n$ -qubit system after the measurement is

$$\frac{1}{\sqrt{2^n/|C_2|}} \sum_{k' \in C_2^\perp} (-1)^{x \cdot k'} |k' + e_z\rangle$$

- Correction of the phase flip error indicator  $e_z$  : applying a bit flip operator  $\sigma_x$  to each qubit where a bit flip occurred and resulting in the state

$$\frac{1}{\sqrt{2^n/|C_2|}} \sum_{k' \in C_2^\perp} (-1)^{x \cdot k'} |k'\rangle = \frac{1}{\sqrt{|C_2|2^n}} \sum_{k'=0}^{2^n-1} \sum_{y \in C_2} (-1)^{(x+y) \cdot k'} |k'\rangle$$

- $H^{\otimes n}$  : applying a Hadamard gate to each qubit again and

recovering the state

$$|\bar{x}\rangle = |x + C_2\rangle = \frac{1}{\sqrt{|C_2|}} \sum_{y \in C_2} |x + y\rangle$$

## An Example : the Steane Code

- $C_1 = C$  : the  $[7,4,3]$  Hamming code with parity-check matrix

$$H = \begin{bmatrix} 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 \end{bmatrix}$$

- $C_2 = C^\perp$  : a  $[7,3,4]$  linear code with parity-check matrix

$$H' = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{bmatrix}$$

- $C_2 \subset C_1$

- $C_2^\perp = C$
- Both  $C_1$  and  $C_2^\perp$  are 1-error-correcting codes
- The Steane code is a  $[7, 1]$  CSS quantum code which can correct one arbitrary error